

Client Case Study: Co-sourced GRC Support

CO-SOURCED GRC |
REGULATORY COMPLIANCE |
BESPOKE REPORTING |
TRAINING | ASSURANCE |
REG CHANGE |
FRAMEWORKS & POLICIES

The ask?



A global investment management firm has a local presence in Australia including a Responsible Entity. A small local internal risk & compliance team was struggling to meet the needs of the business as a manufacturer, operator and distributor of fund products including Exchange Traded Funds (ETFs).

PX Partners was engaged to **supplement the team on the ground in Australia on a flexible basis** so that additional resourcing could be accessed during periods of higher activity and to support specific risk & compliance projects.

The px Difference



Providing an **experienced practitioner to work with the client** provided **immediate access to insights** including FSC and ASFA via the PX Partners industry membership. We could bring the **right level of resourcing to support different risk and compliance activities** all overseen by a senior member of our team which provided a cost-effective solution and an alternative to have to add additional resourcing to the client Risk & Compliance team.

Below are some examples of our outputs:

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Executive Summary

Website transition

Policy review

Compliance monitoring (1)