

Client Case Study: Targeted workshop for Responsible Managers

RESPONSIBLE MANAGER
TRAINING | RG 105 |
REGULATORY SCRUTINY |
TRUSTEE OBLIGATIONS |
HORIZON SCANNING

The ask?

An outsourced trustee holding both an **AFS licence and an RSE licence** engaged PX Partners to deliver a **half day Responsible Manager (RM) workshop** for their senior management team. Operating under **heightened regulatory scrutiny and significant change**, the client needed their RMs to clearly understand their key obligations, including organisational competence under RG 105 and the general obligations under s912A, and where the regulatory direction of travel was heading.

The px Difference

From our experience, effective RM training rests on a few cornerstones:

- Storytelling through case studies and real life examples to drive engagement and bring the theory to life
- Tailored materials and contextualisation, so the content reflects the client's actual business context and the specific scrutiny they face
- Passionate knowledge sharing that gives the RMs practical insights drawn from our own experience in similar roles and organisations.

This meant the workshop was relevant from the first slide, focused on what genuinely matters for RMs at a trustee under pressure, and equipped the senior team to discharge their RM responsibilities with confidence.

Below are some examples of client training we delivered:



The so what?

We designed and delivered a **tailored half day RM workshop** for the senior management team, covering:

- RMs as gatekeepers and their key responsibilities under RG 105
- Key obligations across their AFS licences
- The **evolving regulatory landscape** and the heightened scrutiny on trustees
- Horizon scanning and in depth insights into the regulatory direction of travel

The session was **grounded in real life case studies** and examples to bring the obligations to life and connect them to the RMs' own roles and operating environment.

